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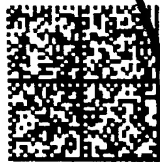
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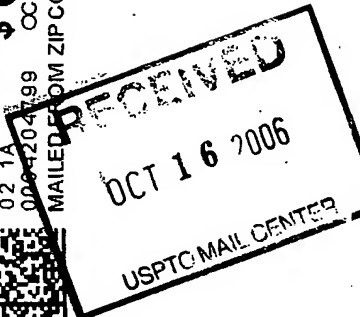
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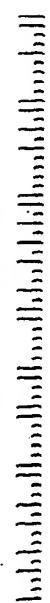
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/533,052	04/26/2005	1614	1000	206-955		13	1

CONFIRMATION NO. 8996

Abelman Frayne & Schwab  
 150 East 42nd Street  
 New York, NY 10017-5612

## FILING RECEIPT



\*OC000000020701902\*

Date Mailed: 10/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Power of Attorney:

Jay Cinamon--24156

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR03/02579 11/26/2003

## Foreign Applications

REPUBLIC OF KOREA 10-2002-0074691 11/28/2002

If Required, Foreign Filing License Granted: 10/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/533,052**

Projected Publication Date: 01/11/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Method of preparing 10th-dibenzo[b,f][11,4]thiazepin-11-one

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,052	Byong-Sung Kwak	206-955

INTERNATIONAL APPLICATION NO.
PCT/KR03/02579

I.A. FILING DATE	PRIORITY DATE
11/26/2003	11/28/2002

Abelman Frayne & Schwab  
 150 East 42nd Street  
 New York, NY 10017-5612

CONFIRMATION NO. 8996

## 371 ACCEPTANCE LETTER



\*OC000000020701903\*

Date Mailed: 10/05/2006

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>04/26/2005</u>	<u>04/26/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art.Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 04/26/2005
- Information Disclosure Statements filed on 04/26/2005
- Oath or Declaration filed on 04/26/2005
- Request for Immediate Examination filed on 04/26/2005
- U.S. Basic National Fees filed on 04/26/2005
- Priority Documents filed on 04/26/2005
- Power of Attorney filed on 04/26/2005
- Specification filed on 04/26/2005
- Claims filed on 04/26/2005
- Abstracts filed on 04/26/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

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FORM PCT/DO/EO/903 (371 Acceptance Notice)